

# EQUITAS

Headquartered in downtown New Orleans, Equitas Capital Advisors, LLC is an independent institutional consulting practice. We provide professional investment management consulting services to clients whose assets are in excess of approximately \$368 million as of December 31, 2019.

## MISSION STATEMENT

Equitas Capital Advisors, LLC was established to be a unique company which blends the resources of a large corporation with the flexibility of a small, independent firm whose interests are aligned exclusively with those of our clients. The purpose of Equitas is to design, build, and deliver financial solutions for our clients.



Equitas Capital Advisors, LLC  
365 Canal Street, Suite 3050  
New Orleans, LA 70130  
Phone (504) 569-9600  
Fax (504) 569-9650

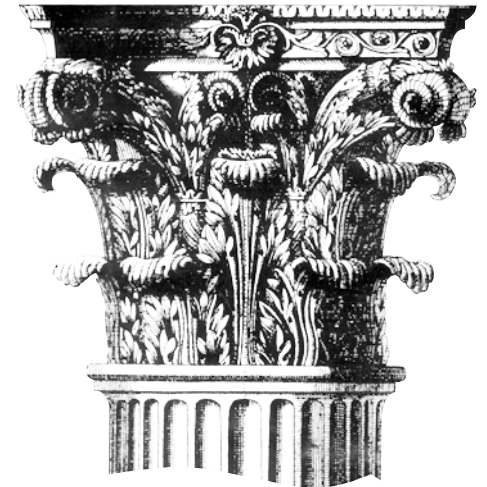
---

2020

PRIVACY POLICY NOTICE

---

**EQUITAS**  
CAPITAL ADVISORS LLC



Engineering Financial Solutions<sup>SM</sup>

# THIS NOTICE IS FOR YOUR INFORMATION

## **NO RESPONSE IS REQUIRED.**

This Notice generally describes the Privacy Policy of Equitas Capital Advisors, LLC (“ECA”) regarding how ECA collects, utilizes and maintains non-public personal information that it collects about prospective, current or former clients of ECA who have been provided consulting services by ECA.

### **Categories of Information We Collect and May Disclose**

Protecting your personal information is an important priority for ECA. We use the personal information collected about you in order to provide you with better service and to comply with applicable law. We may collect non-public personal information about you from the following sources: (1) Information given to us as part of your investment account opening process (i.e., name, address, occupation, email address, investment objectives and net worth); (2) Subscription documents and related transactional activity; and (3) Other interactions with us or our affiliates.

We may disclose non-public personal information we collect about you to our affiliates and non-affiliated service providers, as permitted by law. For example, we may share non-public

personal information about you in the following situations: (1) In connection with the administration and operation of the investments, this may include hedge funds, managers, administrators, attorneys, accountants, auditors, or other professionals and service providers; (2) With our affiliates to assist ECA in offering and providing our products and services to you; (3) Upon your consent, including authorization to disclose such information to persons acting in a fiduciary or representative capacity on your behalf; and (4) To respond to a subpoena or court order, judicial process or regulatory inquiry.

### **Confidentiality and Security**

ECA has always considered the protection of sensitive information to be a sound business practice and a foundation of investor trust. We protect personal information we collect about you by maintaining physical, electronic and procedural safeguards. Within ECA we restrict access to non-public personal information about you to those employees who need to know that information to provide services to you.

### **Further Information**

We reserve the right to change this Privacy Policy at any time. The examples contained within this notice are illustrations and are not intended to be exclusive. This notice complies with recently enacted Federal law regarding privacy. You may have additional rights

under other foreign or domestic laws that may apply to you.

Please contact Derek Fossier at [dfossier@equitas-capital.com](mailto:dfossier@equitas-capital.com) or at 1-504-569-9600 if you have any questions.

A copy of our Form ADV Part 2 is available upon request.

Toll Free  
866.797.4877